

ETEROGENEITA' TUMORALE

SAPIENZA UNIVERSITÀ DI ROMA

Dott.ssa Bruna Cerbelli, MD, PhD



Modulo dichiarazione conflitto di interessi

Tutti i rapporti finanziari intercorsi negli ultimi due anni devono essere dichiarati.

☑ Non ho rapporti (finanziari o di altro tipo) con le Aziende del farmaco

"Tumor Heterogeneity" +> "Tumor Evolution"

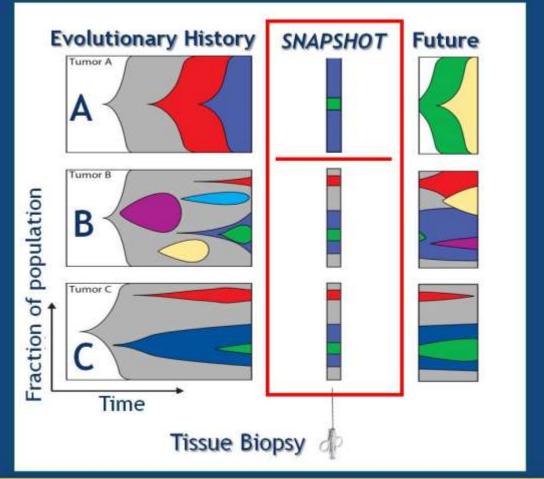
Intra-tumor Heterogeneity

- heterogeneity within tumor
- spatial and temporal
- genetic, epigenetic, transcriptomic, proteomic

Inter-tumor Heterogeneity

heterogeneity btw. patients

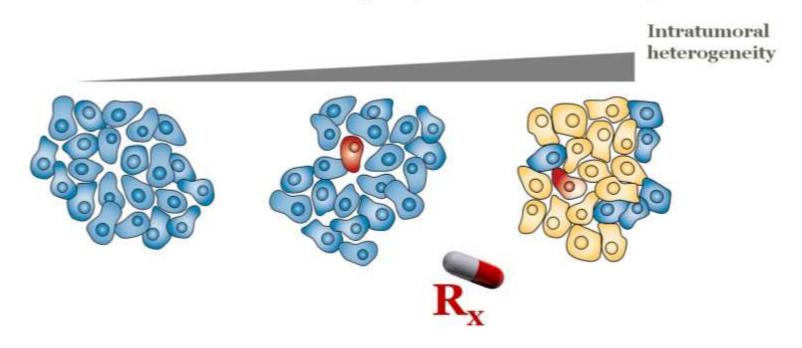
Heterogeneity in Interactions with TME, external stresses





A cruel truth of many solid tumors

From modest to extensive intratumoral heterogeneity, the rule rather than exception



Which therapies cause heterogeneity?

"Chemotherapy" Platinums

- Carboplatin
- Cisplatin

Other chemos

- Etoposide
- Vinorelbine
- Gemcitabine
- Irinotecan
- Topotecan
- Paclitaxel
- Docetaxel
- Pemetrexed
- Nab-paclitaxel

"Targeted Therapy" VEGF Drugs

- Bevacizumab
- Ramucirumab

EGFR Drugs

- Erlotinib
- Afatinib
- Gefitinib
- Osimertinib
- Necitumumab

ALK/ROS1 Drugs

- Crizotinib
- Ceritinib
- Alectinib
- Brigatinib

"Immunotherapy"

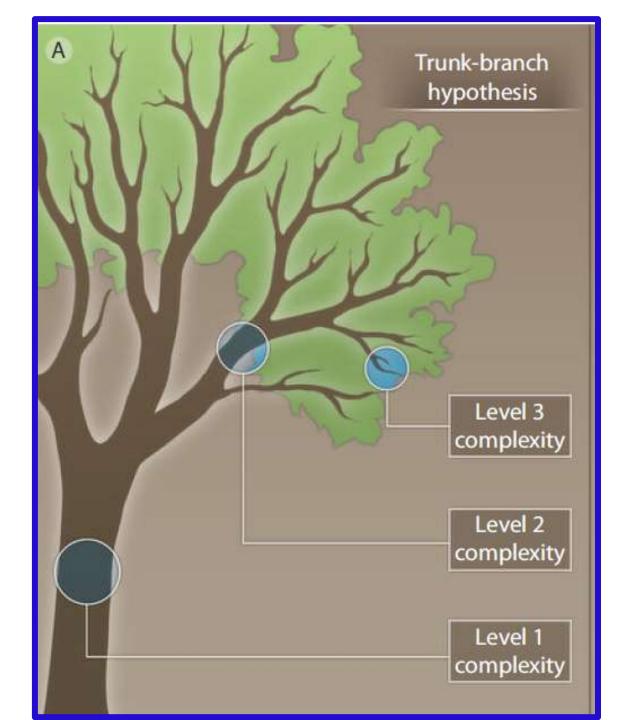
Checkpoint inhibitors

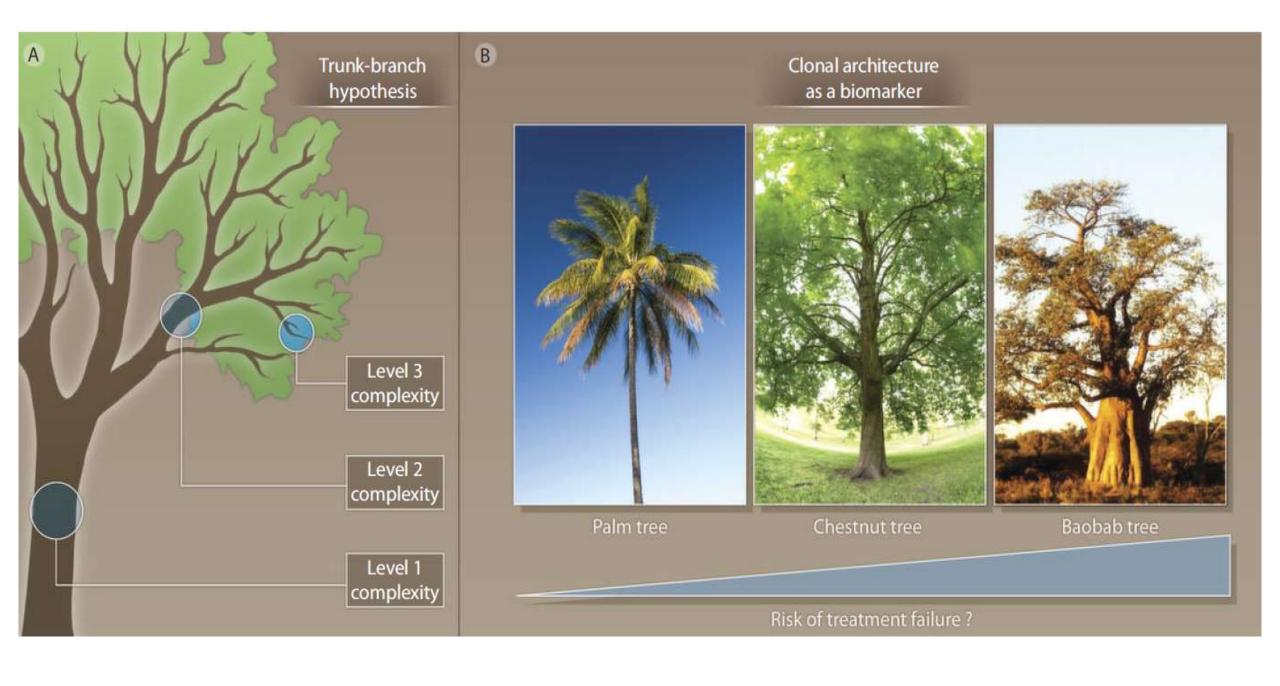
- Nivolumab
- Pembrolizumab
- Atezolizumab

PRESENTED AT: ASCO ANNUAL MEETING '17 #ASCO17

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Presented by Joel Neal, MD, PhD





Tumor Heterogeneity: Will It Change What Pathologists Do

J Clin Pathol. 2018 Apr; 71(4): 285-290.

Published online 2017 Nov 7. doi: 10.1136/jclinpath-2017-204821 Time for change: a new training programme for morpho-molecular PMCID: PMC5868526 PMID: 29113995

What Are the Consequences of Molecular Tumor Heterogeneity in Daily Diagnostic Pathology Practice?



Front Med (Lausanne). 2017; 4: 227.

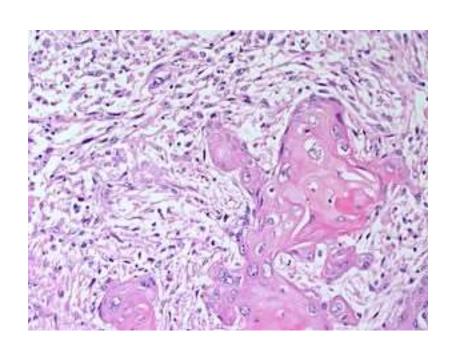
Published online 2017 Dec 8. doi: 10.3389/fmed.2017.00227

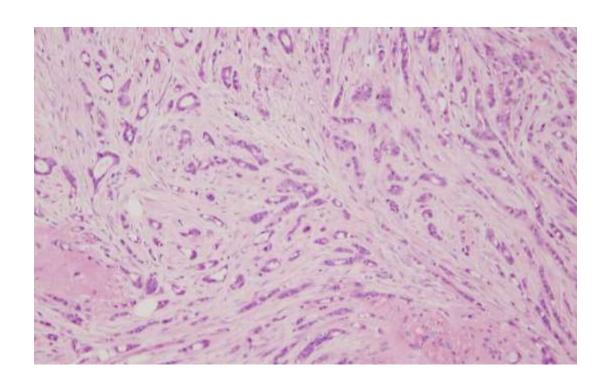
Tumor Heterogeneity in Breast Cancer

Gulisa Turashvili1 and Edi Brogi1,*

ETEROGENEITA' INTRATUMORALE

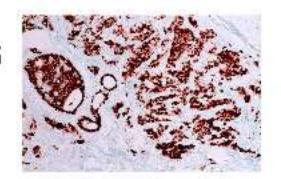
Istopatologica





ETEROGENEITA' INTRATUMORALE: biomarcatori

ER/PG

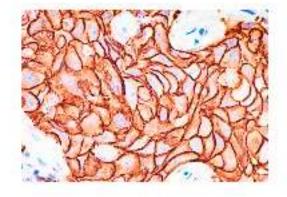


ASCO/CAP Guideline Recommendations for Immunohistochemical Testing of Estrogen and Progesterone Receptors in Breast Cancer. <u>Hammond</u> et al 2010.

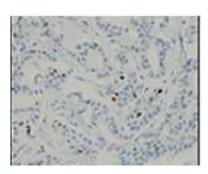
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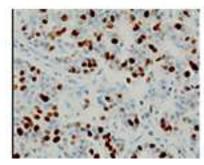
GLI IMPRESCINDIBILI!

Her2



Ki67





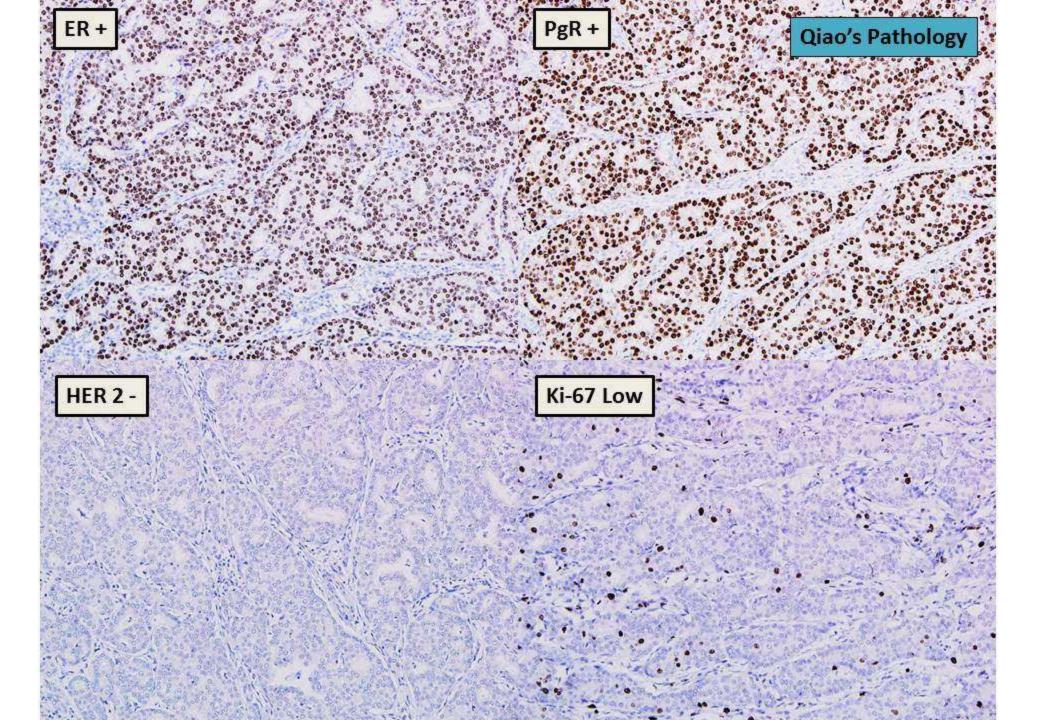
Conversione dei biomarkers tra PT e MT

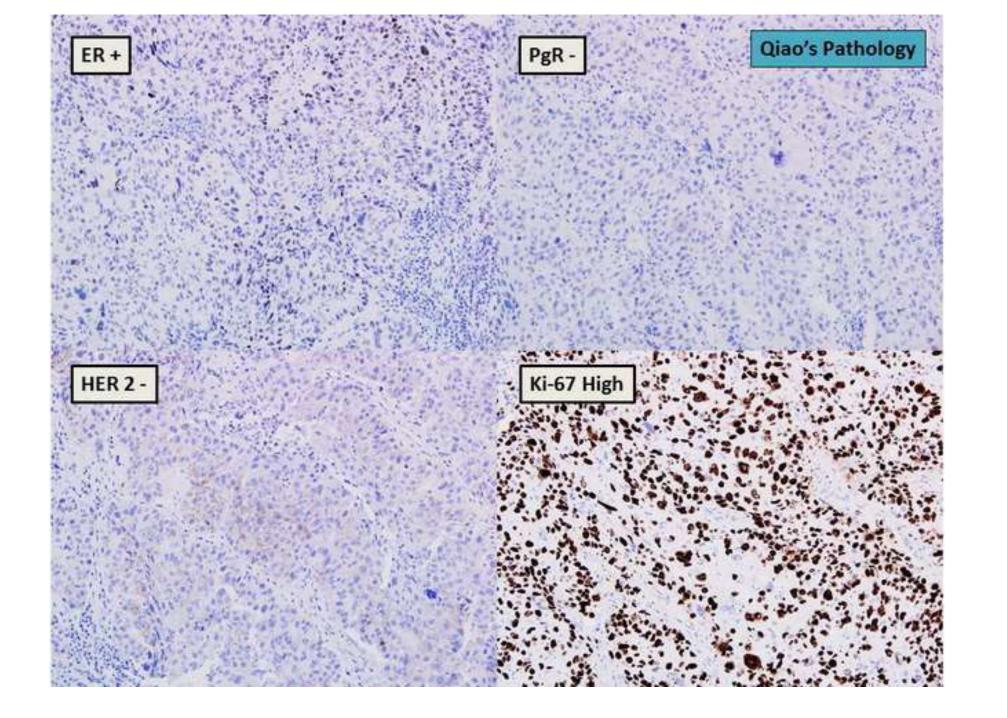
- La negativizzazione dei ER/PG e l'incremento del Ki67 si associa a prognosi peggiore
- ER: 6-40%; PG: 21-41%; Her2/Neu: 1-41% (Criscitiello C et al; Breast Cancer Res. 2014)
- Meta-analisi di 48 studi: tasso di discordanza per ER, PG, Her2 dell'8-23% (Aurilio G et al; Eur J Cancer. 2014)
- Iperespressione di Her2/Neu nei MT nelle metastasi cerebrali e ossee di TNBC (Wallwiener M et al; Oncotarget 2017)

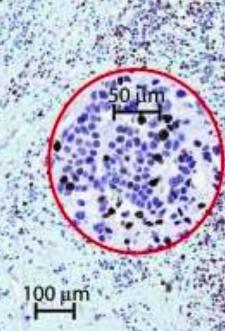
Mod Pathol. 2016 Dec;29(12):1460-1470. doi: 10.1038/modpathol.2016.116. Epub 2016 Aug 26.

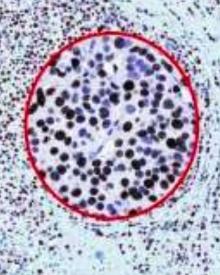
Influence of decalcification procedures on immunohistochemistry and molecular pathology in breast cancer.

Schrijver WA1, van der Groep P12, Hoefnagel LD1, Ter Hoeve ND1, Peeters T1, Moelans CB1, van Diest PJ1.







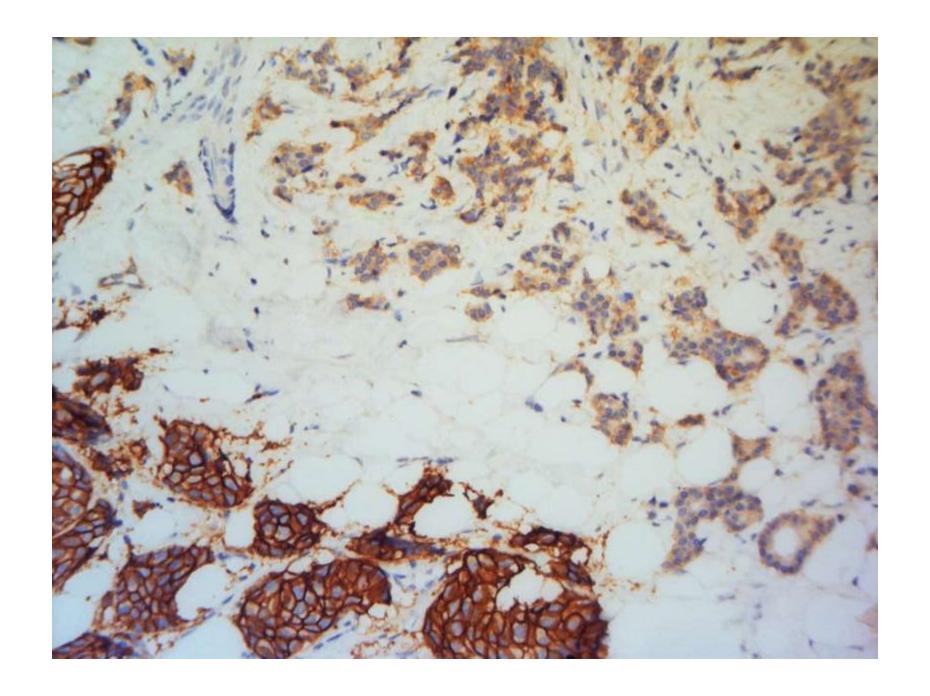


Interpretation and scoring

- In full sections, at least three high-power (×40 objective) fields should be selected to represent
 the spectrum of staining seen on initial overview of the whole section.
- For the purpose of prognostic evaluation, the invasive edge of the tumor should be scored.
- If pharmacodynamic comparisons must be between core cuts and sections from the excision, assessment of the latter should be across the whole tumor.
- If there are clear hot spots, data from these should be included in the overall score.
- Only nuclear staining is considered positive. Staining intensity is not relevant.
- Scoring should involve the counting of at least 500 malignant invasive cells (and preferably at least 1000 cells) unless a protocol clearly states reasons for fewer being acceptable.
- Image analysis methods for Ki67 remain to be proven for use in clinical practice.

Data handling

- The Ki67 score or index should be expressed as the percentage of positively staining cells among the total number of invasive cells in the area scored.
- Statistical analysis should take account of the log-normal distribution generally followed by Ki67 measurement.
- The most appropriate endpoint in comparative studies of treatment efficacy or response is the percentage suppression of Ki67-positive cells.
- The most appropriate endpoint for assessing residual risk of recurrence is the on-treatment proportion of Ki67-positive cells.
- Cut points for prognosis, prediction, and monitoring should only be applied if the results from local practice have been validated against those in studies that have defined the cutoff for the intended use of the Ki67 result.



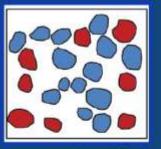
HER2 heterogeneity as a predictor of response to neoadjuvant T-DM1 plus pertuzumab: Results from a prospective clinical trial.

June 3, 2019

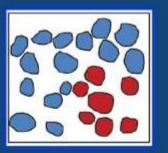
Otto Metzger Filho, Giuseppe Viale, Lorenzo Trippa, Tianyu Li, Denise A. Yardley, Ingrid A. Mayer, Vandana Gupta Abramson, Carlos L. Arteaga, Laura Spring, Adrienne Gropper Waks, Michalina Janiszewska, Eileen Wrabel, Michelle Demeo, Aditya Bardia, Tari A. King, Kornelia Polyak, Eric P. Winer, Ian E. Krop; Dana-Farber.

Background: HER2 Heterogeneity

- HER2 heterogeneity is defined by the presence of at least two distinct clones of cells with different levels of HER2 amplification within a tumor
- Estimates of prevalence of heterogeneity: 10-30% (depends on definition, population)



Scattered amplified cells (red)



Cluster of amplified cells (red)

Vance GH et al. Arch Pathol Lab Med 2009
Viale et al. Modern Pathology 2013
Lee, HJ. Am J Clin Pathol 2014
Lee, HJ. Am J Clin Pathol 2015



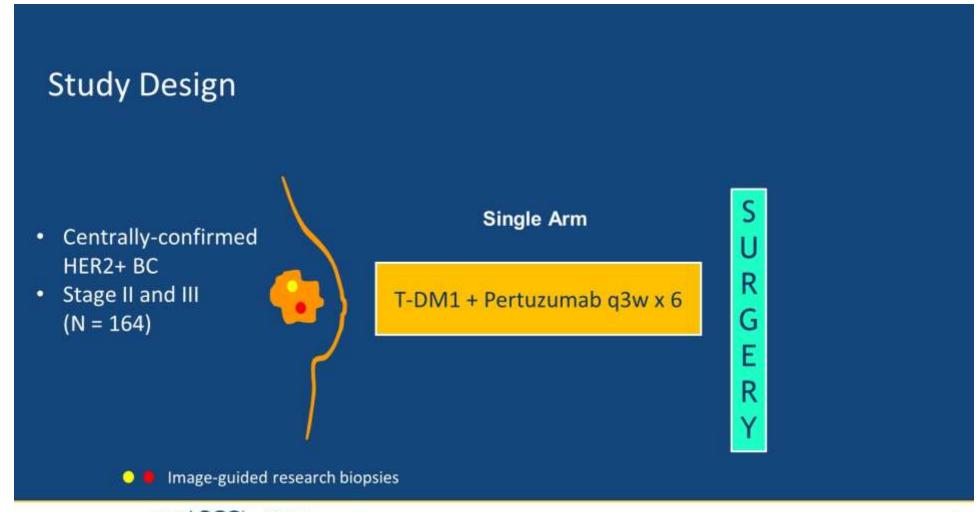
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Study Hypothesis and Rationale

 HER2 heterogeneity is associated with inferior pathologic complete response (pCR) rate to neoadjuvant targeted anti-HER2 therapy



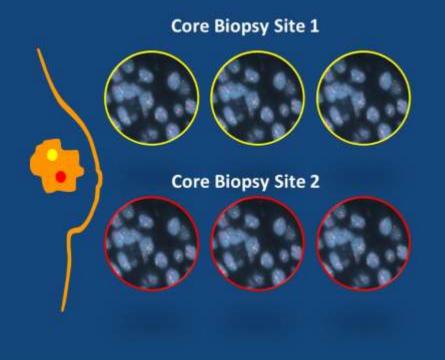
Investigating the impact of HER2 heterogeneity on response to therapy is an important step while we try to de-escalate chemotherapy and rely on HER2-targeted Rx



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HER2 Heterogeneity: Method of Evaluation



HER2 Heterogeneity defined as either

- 1) HER2 positivity by FISH in > 5% and < 50% of tumor cells (i.e., CAP guideline)
- 2) An area of tumor that tested HER2 negative.

Assessment performed by central laboratory (European Institute of Oncology, Milan) and blinded to treatment outcome

Vance GH et al. Arch Pathol Lab Med 2009 Bartilett JM et al. J Clin Pathol 2011



Objectives

Primary

To investigate the relationship between pCR (defined as Residual Cancer Burden = 0) and intratumor heterogeneity of HER2 amplification

Secondary

Safety and tolerability
Objective response rate
Disease-free survival and overall survival

Translational

Digital Spatial Profiling (DSP) of HER2 heterogeneity



Baseline Characteristics

Characteristic N (%)	T-DM1 plus Pertuzumab N = 163
Median tumor size (IQR)	2.8 cm (2.1-3.8 cm)
Hormone receptor status, n (%)	
ER+ and/or PR+	112 (68.7%)
ER- and PR-	51 (31.3%)
Clinical stage	
1	1 (0.6%)
II.	138 (84.7%)
III	24 (14.7%)
HER2 IHC (central evaluation)	
2+	40 (24.5%)
3+	121 (74.2%)
Missing	2 (1.2%)

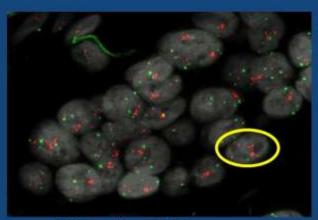
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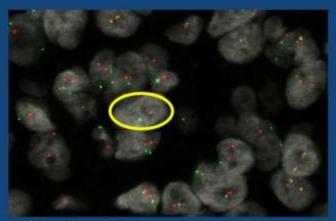
Results: Prevalence of Heterogeneity

- 16/157(10%) of evaluable cases were classified as HER2 heterogenous
 - 13 (81%) hormone receptor positive and 3 (19%) hormone receptor negative

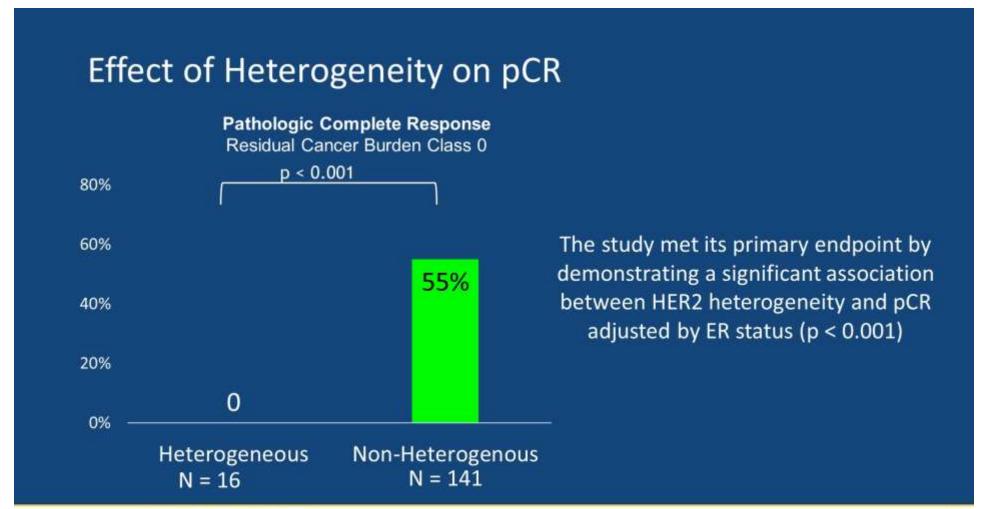
Example: Core biopsy site 1 amplified and site 2 non-amplified

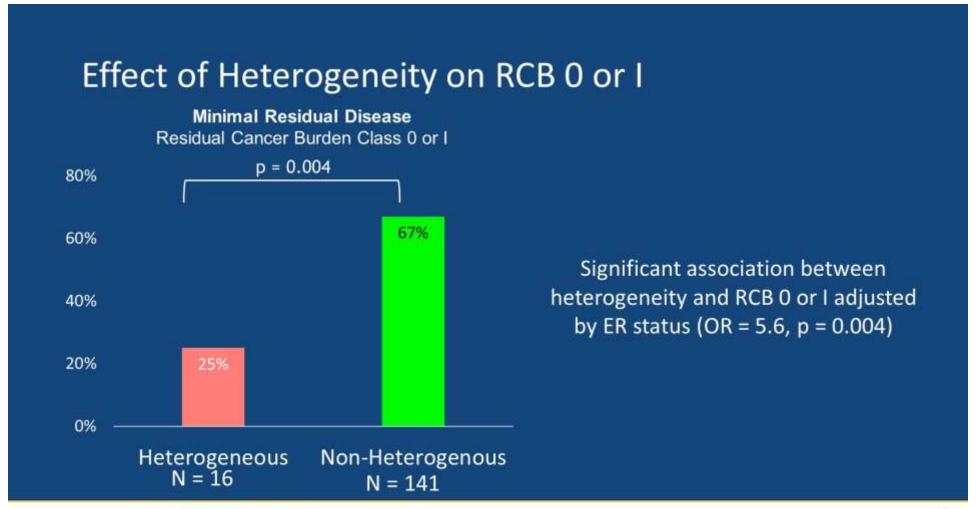


FISH ratio = 3.85



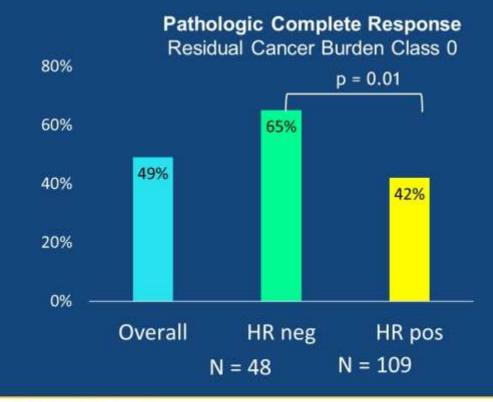
FISH ratio = 1.1





13

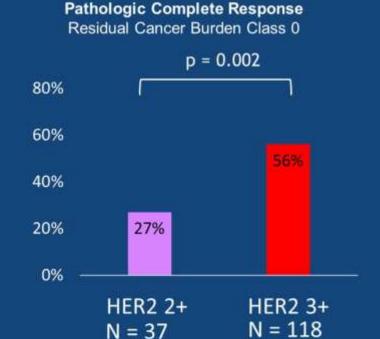




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Exploratory Analyses: pCR by HER2 IHC

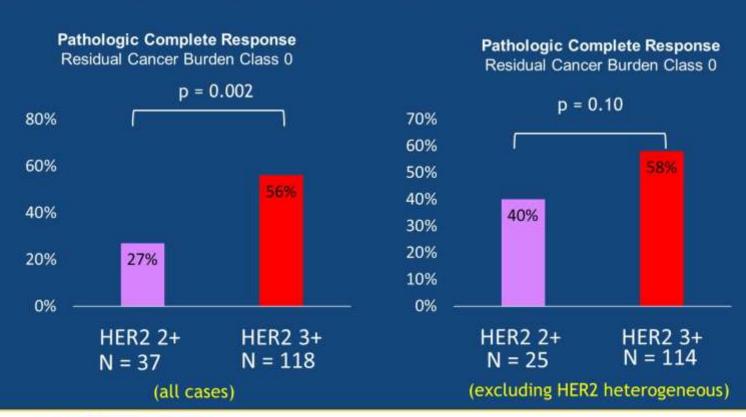


The association between HER2 heterogeneity and pCR remained significant when adjusted by ER status and HER2 IHC status (p = 0.002)

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Exploratory Analyses: pCR by HER2 IHC



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Translational Research: Digital Spatial Profiling



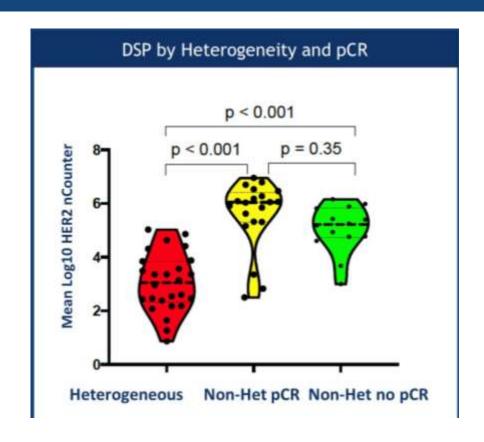
Measurement of HER2 protein level in multiple areas of each case

- FFPE slides stained with oligonucleotides antibodies (i.e. HER2)
- · Pan-CK to select regions of interest (ROIs)
- Quantification via nCounter Assay (NanoString Technologies)

Patient Selection

- 30 cases
- Heterogeneous and non-heterogeneous cases (with or without pCR)
- Core biopsy site 1 and 2 profiled
- 3-4 Regions of Interest (ROI) per site

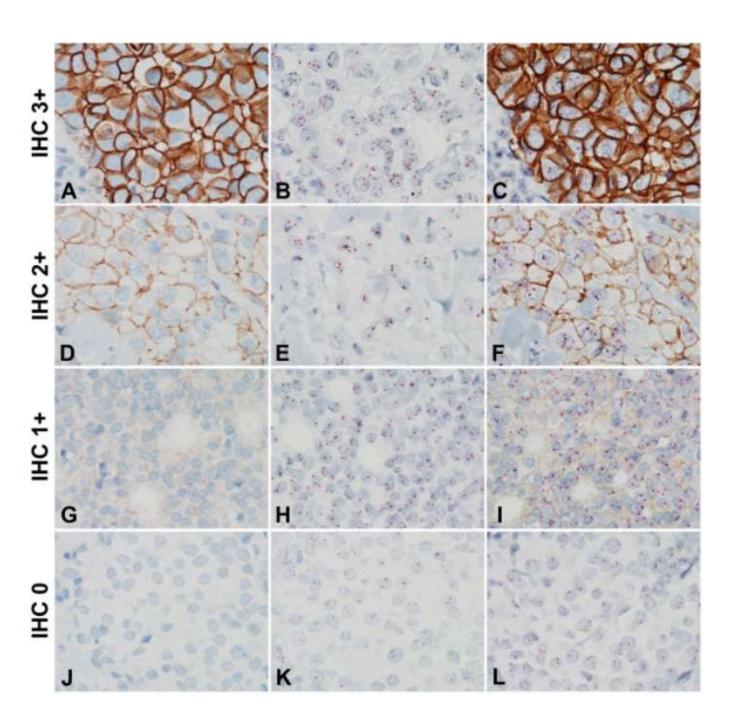
Digital Spatial Profiling - Results



Conclusions

- Our study met its primary endpoint by demonstrating a significant association between HER2 heterogeneity and pCR
 - This effect was independent of ER status and HER2 protein expression by IHC
- The use of a clinical definition of HER2 heterogeneity defined by FISH should facilitate efforts to validate in other studies
- T-DM1 plus Pertuzumab is a well tolerated regimen with 95% of pts completing six cycles of tx
 - In the non-heterogeneous group pCR rate is 55%
- HER2 heterogeneous cancers may represent a distinct subset of HER2+ breast cancer
 - Lower rates of pCR
 - Lower levels of HER2 protein expression
 - Possibly require different treatment approaches





Un evergreen: la corretta fissazione!

- «breast biopsies and excised breast tissue samples be assessed grossly as rapidly as possible, sectioned and placed in formalin, ideally within 1 h from excision and removal from the patient, and that these times be recorded for each specimen»
- «biopsies fixed for intervals shorter than 6 hours or longer than 72 hours»
- «surgical sample fixed <24h and/or >72h»

«Examples of circumstances that may lead to uninterpretable results include:

testing of needle biopsies or cytology samples fixed in alcohol, use of fixatives other than 10% neutral buffered formalin, samples where fixation was delayed for more than 1 hour»

Hammond et al. 2010; Wolff et al. 2014; Taylor et al 2006; Goldstein NS et al 2003.

a. Warm Ischemic Time:

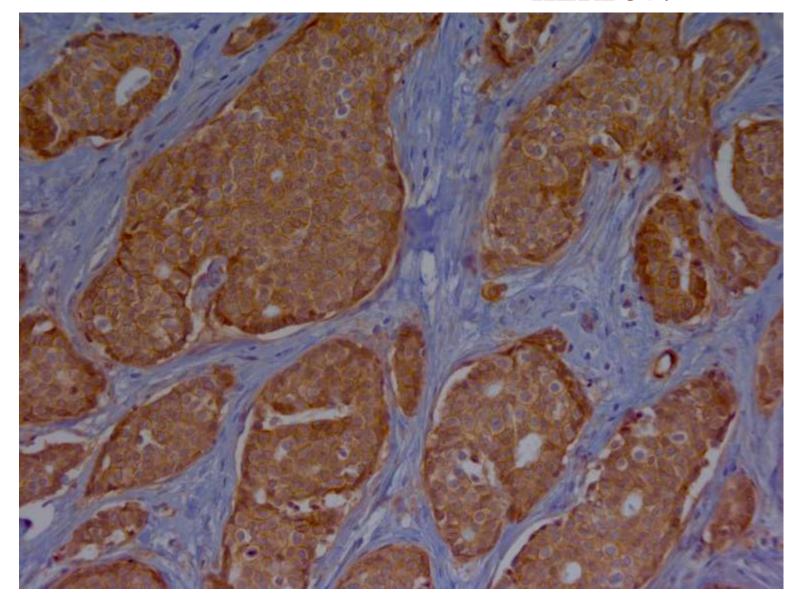
interval between arterial ligation and tissue removal from the patient, it can vary considerably, depending on the complexity of the surgical procedure, ability of the surgeon, modality of intervention. The tissue remains alive, is reactive, and will undergo progressive metabolic stress due to hypoxia.

b. Cold Ischemic Time:

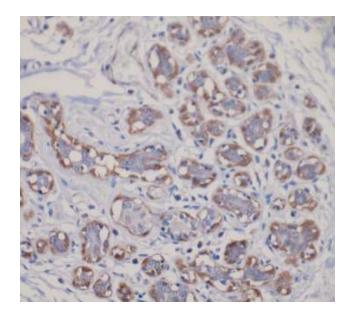
field until incision of the tissue and placement in a suitable tissue fixative.

«important variables in the analysis of labile macromolecules such as proteins, RNA, and DNA from clinical tissue samples».

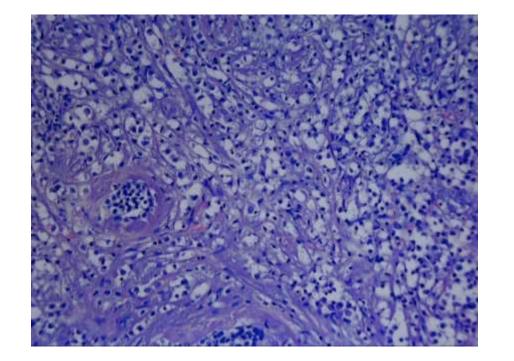
HER2 3+?

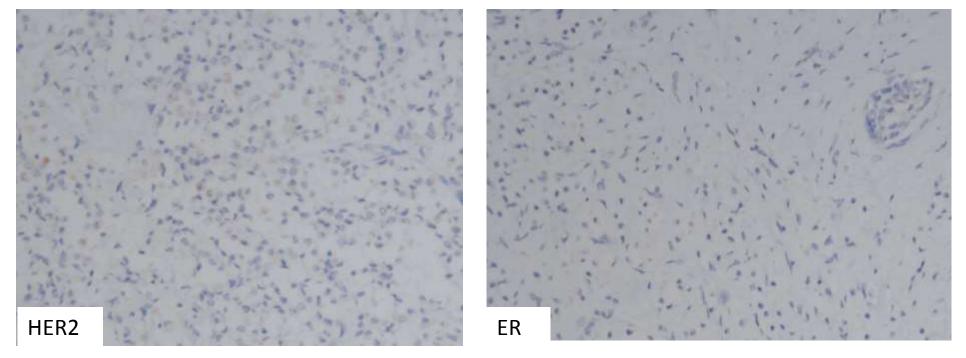












"Tumor Heterogeneity" +> "Tumor Evolution"

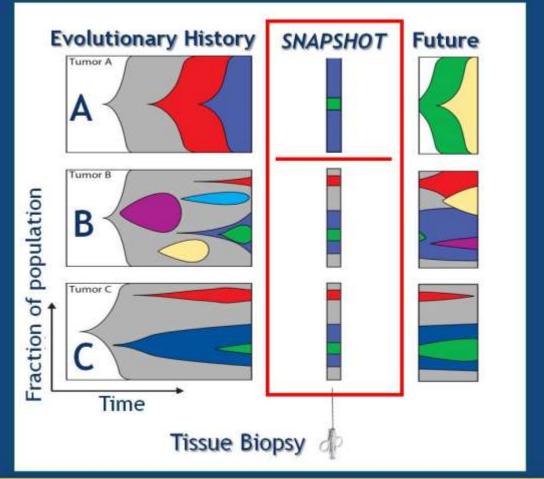
Intra-tumor Heterogeneity

- heterogeneity within tumor
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- genetic, epigenetic, transcriptomic, proteomic

Inter-tumor Heterogeneity

heterogeneity btw. patients

Heterogeneity in Interactions with TME, external stresses





L'anticorpo primario

Agent	Nivolumab	Pembrolizumab	Atezolizumab	Durvalumab
Primary antibody clone used in the assay system	28-8 (Dako)	22C3 (Dako)	SP142 (Ventana)	SP263 (Ventana)
Interpretive scoring	Tumor cell	Tumor cell	Tumor cell membrane	Tumor cell
	membrane	membrane	Infiltrating immune cells	membrane
Instrument and detection systems required	EnVision Flex on	EnVision Flex on	OptiView detection and	OptiView
	AutostainerLink	AutostainerLink	amplification on	detection on
	48	48	Benchmark ULTRA	Benchmark ULTRA

PD-L1 Immunohistochemistry Assays for Lung Cancer: Results from Phase 1 of the Blueprint PD-L1 IHC Assay Comparison Project

Fred R. Hirsch, MD, PhD, **Abigail McElhinny, PhD, **Dave Stanforth, MBA, **James Ranger-Moore, PhD, **Malinka Jansson, MA, **Karina Kulangara, PhD, **Milliam Richardson, BA, **Penny Towne, BS, MBA, **Debra Hanks, MD, **Bharathi Vennapusa, MD, **Amita Mistry, MD, **Rasika Kalamegham, PhD, **Steve Averbuch, MD, **James Novotny, PhD, **Eric Rubin, MD, **Kenneth Emancipator, MD, **Ian McCaffery, PhD, **J. Andrew Williams, PhD, **Jill Walker, PhD, **John Longshore, PhD, **Ming Sound Tsao, MD, **Keith M. Kerr, MB, FRCPath**

PD-L1 Immunohistochemistry Comparability Study in Real-Life Clinical Samples: Results of Blueprint Phase 2 Project

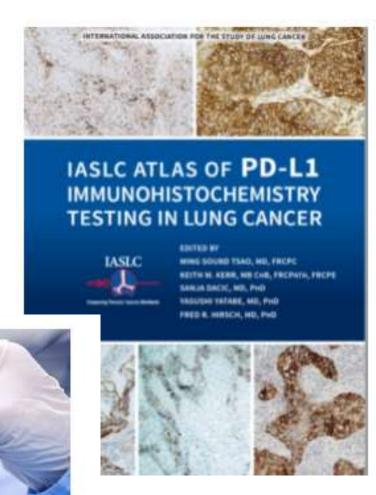
Ming Sound Tsao, MD, ** Keith M. Kerr, MD, ** Mark Kockx, MD, PhD, **
Mary-Beth Beasley, MD, ** Alain C. Borczuk, MD, ** Johan Botling, MD, **
Lukas Bubendorf, MD, ** Lucian Chirieac, MD, ** Gang Chen, MD, **
Teh-Ying Chou, MD, PhD, ** Jin-Haeng Chung, MD, PhD, ** Sanja Dacic, MD, PhD, **
Sylvie Lantuejout, MD, ** Mari Mino-Kenudson, MD, ** Andre L. Moreira, MD, **
Andrew G. Nicholson, DM, ** Masayuki Noguchi, MD, ** PhD, ** Giuseppe Pelosi, MD, Claudia Poleri, MD, ** Prudence A. Russell, MD, ** Jennifer Sauter, MD, **
Erik Thunnissen, MD, PhD, ** Ignacio Wistuba, MD, PhD, ** Hui Yu, MD, PhD, **
Murry W. Wynes, PhD, ** Melania Pintilie, MSc, ** Yasushi Yatabe, MD, PhD, **
Fred R. Hirsch, MD, PhD***

Multicenter Comparison of 22C3 PharmDx (Agilent) and SP263 (Ventana) Assays to Test PD-L1 Expression for NSCLC Patients to Be Treated with Immune Checkpoint Inhibitors

Antonio Marchetti, MD, PhD, ** Massimo Barberis, MD, ** Renato Franco, MD, ** Graziano De Luca, MD, ** Maria Vittoria Pace, PhD, ** Stefania Staibano, MD, ** Marco Volante, MD, ** Fiamma Buttitta, MD, PhD, ** Elena Guerini-Rocco, MD, ** Luisella Righi, MD, ** Tommaso D'antuono, BTech, ** Giorgio V. Scagliotti, MD, ** Carmine Pinto, MD, ** Gaetano De Rosa, MD, ** Mauro Papotti, MD**

La valutazione dell'espressione immunoistochimica di PD-L1 è un compito difficile...



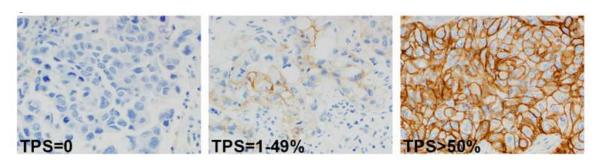


PD-L1

TPS (tumour proportion score): LUNG (Pembro)

TPS =

 $\left(\frac{\text{Thenumber of viable tumor cells positive for PD-L1}}{\text{total number of viable neoplastic cells}}\right) \times 100\%$.



Prevalence in non-small-cell lung cancer (NSCLC) tumor bank specimens stained with PD-L1 IHC 22C3. PD-L1 expression was detected over the entire dynamic range in NSCLC formalin-fixed paraffin-embedded specimens (A); using 50% tumor proportion score (TPS) as cut point, the percentage of clinical diagnostic positive cases was approximately 18.4% (B). Results were reported as the percentage of neoplastic cells showing membranous staining of PD-L1. Images shown are tumor samples obtained from patients with a TPS of <1%, a TPS of 1% to 49%, and a TPS of at least 50% (C).

PD-L1 BY IMMUNOHISTOCHEMISTRY: OPTIONS FOR COMPANION AND COMPLEMENTARY DIAGNOSTIC ASSAYS

CLONE MCL TEST CODE	DRUG	TUMÓR	SCORING INFORMATION
22C3	Pembrolizumab (Keytruda)	Non-small-cell lung cancer (NSCLC)	Companion diagnostic, scored with tumor proportion score (TPS)
		Urothelial carcinoma	Companion diagnostic, scored with combined positive score (CPS)
		Gastric or gastroesophageal junction adenocarcinoma	
		Cervical cancer	
SP263	Nivolumab (Opdivo)	NSCLC	Complementary diagnostic, scored with TPS
	Durvalumab (Imfinzi)	Urothelial carcinoma	Complementary diagnostic scored with tumor cells (TC) or tumor areas with immune cells present (ICP) and tumor infiltrating immune cells (IC)
SP142	Atezoliżumab (Tecentriq)	NSCLC	Complementary diagnostic, scored with TPS
		Urothelial cancer	Companion diagnostic scored with IC
	Atezolizumab (Tecentriq) plus nab-paclitaxel (Abraxane)	Breast cancer (triple negative)	Companion diagnostic scored with IC

IC (PD-L1 immune cell): BREAST

PD-L1 BY IMMUNOHISTOCHEMISTRY: OPTIONS FOR COMPANION AND COMPLEMENTARY DIAGNOSTIC ASSAYS

CLONE MCL TEST CODE	DRUG	TUMOR	SCORING INFORMATION
22C3	Pembrolizumab (Keytruda)	Non-small-cell lung cancer (NSCLC)	Companion diagnostic, scored with tumor proportion score (TPS)
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SP142	Atezolizumab (Tecentriq)	NSCLC	Complementary diagnostic, scored with TPS
		Urothelial cancer	Companion diagnostic scored with IC
	Atezolizumab (Tecentriq) plus nab-paclitaxel (Abraxane)	Breast cancer (triple negative)	Companion diagnostic scored with IC

PD-L1

CPS (combined positive score): HEAD&NECK (Pembro)

Protocol-Specified Final Results of the KEYNOTE-048 Trial of Pembrolizumab as First-Line Therapy for Recurrent/ Metastatic Head and Neck Squamous Cell Carcinoma (R/M HNSCC)

Danny Rischin¹, Kevin Harrington,² Richard Greil,³ Denis Soulières,⁴ Makoto Tahara,⁵ Gilberto de Castro,⁶ Amanda Psyrri,⁷ Neus Basté,⁸ Prakash Neupane,⁹ Åse Bratland,¹⁰ Thorsten Fuereder,¹¹ Brett GM Hughes,¹² Ricard Mesia,¹³ Nuttapong Ngamphaiboon,¹⁴ Tamara Rordorf,¹⁵ Wan Zamaniah Wan Ishak,¹⁶ Yayan Zhang,¹⁷ Fan Jin,¹⁷ Burak Gumuscu,¹⁷ Barbara Burtness¹⁸

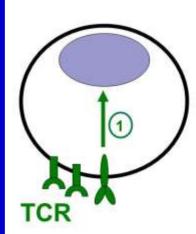
Immune Response is Dynamic: Many signals evolve over time to regulate T cell responses

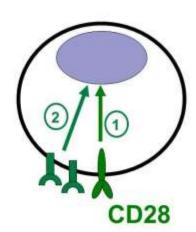
TCR Signal Only No Proliferation

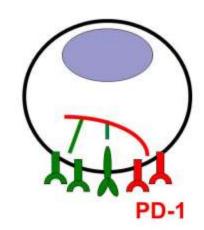
Positive
Costimulation
Proliferation and
T cells Activated

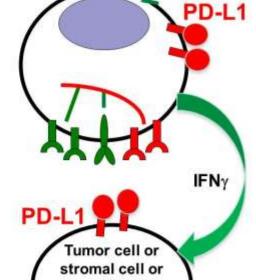
Activated T cells upregulate checkpoint molecules (such as CTLA-4 and PD-1) Activated T cells make IFN_γ, which increases PD-L1 expression











Tumor cell or stromal cell or APC

Biopsy at this time point would show PD-L1-negative tumor cells and other cells

Biopsy at this time point would show PD-L1-positive tumor cells and other cells

OPEN ISSUES

Dove

Biopsia vs CAMPIONE OPERATORIO

Annals of Oncology 27: 147–153, 2016 doi: '0.1093/annonc/mdv489 Published online 19 October 2015

Comparative study of the PD-L1 status between surgically resected specimens and matched biopsies of NSCLC patients reveal major discordances: a potential issue for anti-PD-L1 therapeutic strategies

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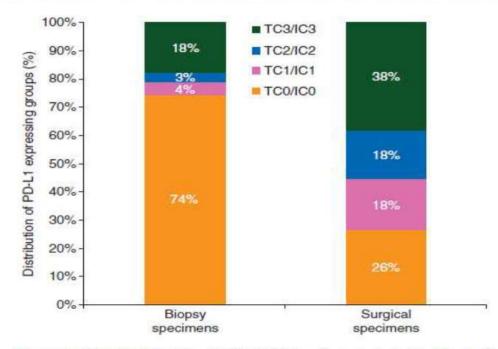
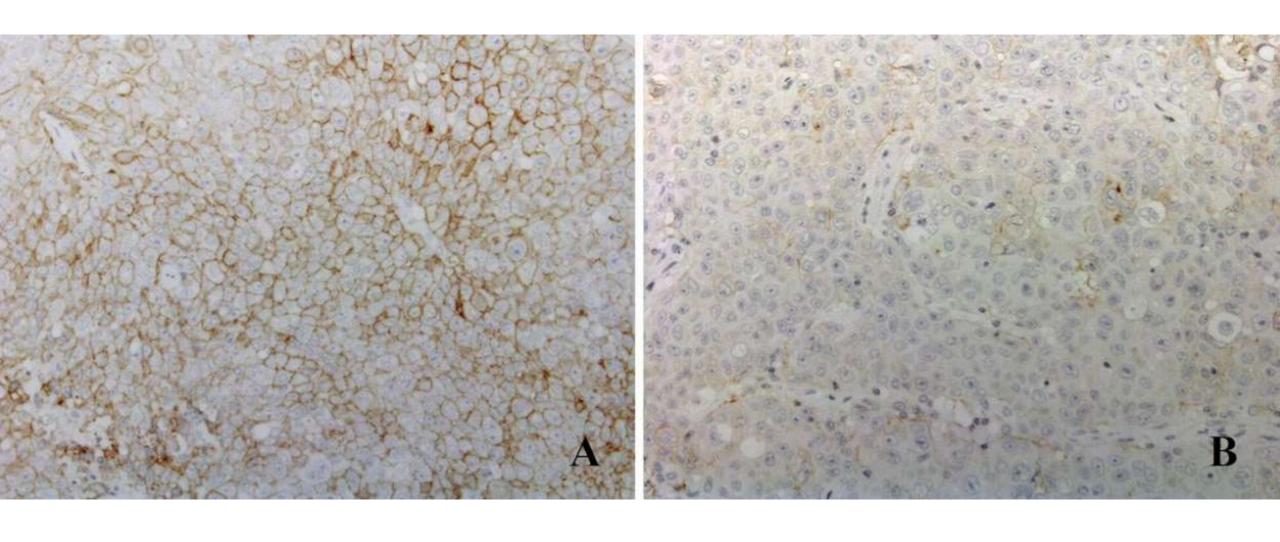


Figure 1. Distribution (%) of 160 NSCLC patients included in the study according to the expression of PD-L1 in tumor cells (TC) and immune cells (IC) in either surgical specimens or matched biopsy specimens.

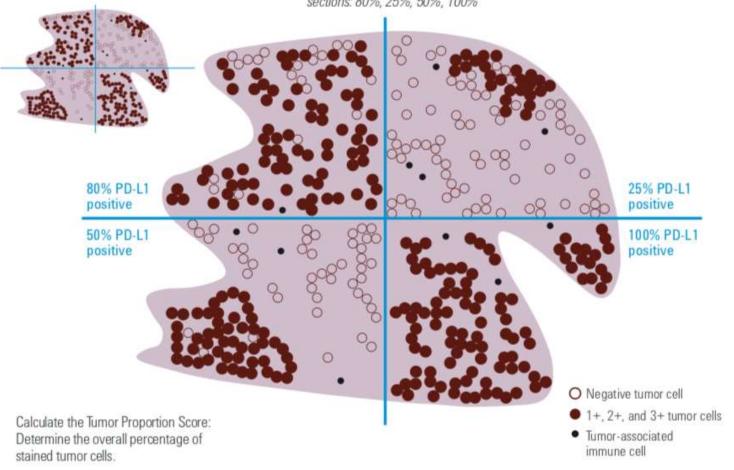


Example 2: Calculation of Tumor Proportion Score in a Heterogeneous Tumor Area

At lower magnification: Visually divide the tumor area into sections.

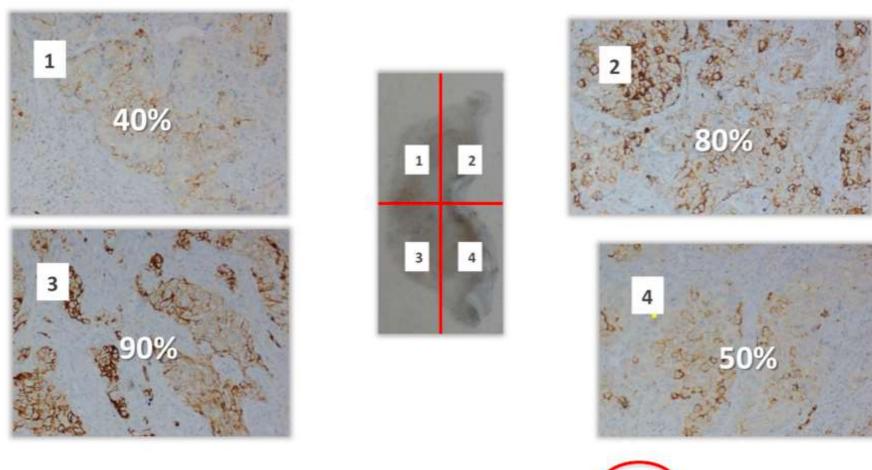
At higher magnification: Observe tumor areas with cell membrane staining for percentage of stained cells in each section.

Assessment: Staining of tumor cells in each of the four respective sections: 80%, 25%, 50%, 100%



Assessment: Tumor Proportion Score: $(80\% + 25\% + 50\% + 100\%) / 4 = \ge 60\%$

Interpretazione dei preparati e scoring

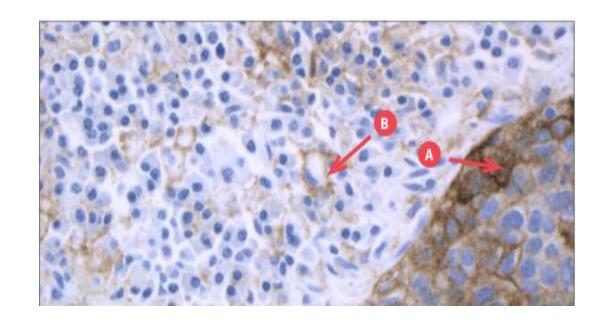


(40%+80%+90%+50%):4=(65%)

L'espressione di PD-L1 può essere maggiore all'interfaccia fra stroma e tumore, come esito dell'attivazione della risposta immunitaria



E nelle piccole biopsie??

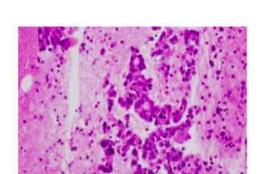




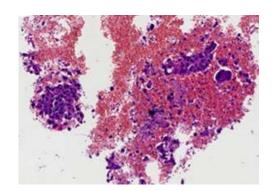
• In oltre il 70% dei casi, il carcinoma del polmone si manifesta in uno stadio avanzato di malattia



 Di conseguenza, il materiale biologico ottenibile per la tipizzazione delle neoplasie è rappresentato da piccole biopsie o da campioni citologici



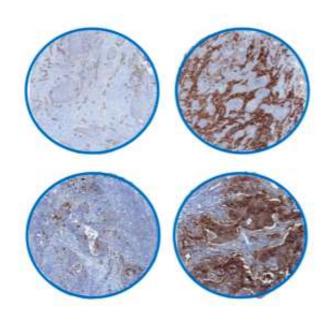
 Proprio per questo, il materiale ottenuto potrebbe non essere rappresentativo del reale stato del biomarcatore



• Il fatto che circa il 10% dei NSCLC risponda agli inibitori dell'asse PD-L1/PD-1 nonostante l'assenza di espressione del biomarcatore potrebbe essere parzialmente spiegato dai risultati falsi negativi dei campioni bioptici di tumori PD-L1 positivi in modo eterogeneo

Solo pochi studi hanno affrontato la tematica relativa all'impatto delle piccole biopsie nel determinare l'eleggibilità al trattamento con pembrolizumab nei pazienti con NSCLC e hanno ripotato risultati controversi:

- Kitazono et al. (2015) Hanno studiato l'espressione immunoistochimica di PD-L1 in 79 casi di NSCLC sia sulle biopsie che sui campioni chirurgici (45 adenoarcinomi, 23 carcinomi squamosi e 11 altri istotipi); usando un cut-off ≥ 1% hanno riscontrato una concordanza pari al 92.4% mentre, usando un cut-off ≥ 50% hanno riscontrato una concordanza pari al 83.5%
- In un altro studio, *Ilie et al* (2016) hanno valutano l'espressione immunoistochimica di PD-L1 in 160 casi di NSCLC sia sulle biopsie che sui campioni chirurgici (33 carcinomi squamosi e 127 adenocarcinomi); usando un cut-off ≥ 1% hanno riscontrato una concordanza, molto inferiore, pari al 52%



PD-L1 expression heterogeneity in non-small cell lung cancer: evaluation of small biopsies reliability

Research Paper

Enrico Munari^{1,2}, Giuseppe Zamboni¹, Marcella Marconi¹, Marco Sommaggio¹, Matteo Brunelli², Guido Martignoni^{2,3}, George J. Netto⁴, Francesca Moretta⁵, Maria Cristina Mingari⁶, Matteo Salgarello⁷, Alberto Terzi⁸, Vincenzo Picece⁹, Carlo Pomari¹⁰, Gianluigi Lunardi⁹, Alberto Cavazza¹¹, Giulio Rossi¹², Lorenzo Moretta¹³ and Giuseppe Bogina¹

We observed a discordance rate of 20% and 7.9% and a Cohen's κ value of 0.53 (moderate) and 0,48 (moderate) for ≥ 1% and ≥ 50% cutoffs, respectively.

Il numero minimo 4 biopsie sarebbe necessario per avere una rappresentazione adeguata dell'eterogeneità del biomarcatore





GRAZIE PER L'ATTENZIONE

